

High-Level Data Link Control

High-Level Data Link Control (HDLC) is a bit-oriented code-transparent synchronous data link layer protocol developed by the International Organization for Standardization (ISO). The original ISO standards for HDLC are as follows:

- ISO 3309 – Frame Structure
- ISO 4335 – Elements of Procedure
- ISO 6159 – Unbalanced Classes of Procedure
- ISO 6256 – Balanced Classes of Procedure

The current standard for HDLC is ISO/IEC 13239:2002, which replaces all of those standards.

HDLC provides both connection-oriented and connectionless service.

HDLC can be used for point to multipoint connections, but is now used almost exclusively to connect one device to another, using what is known as *Asynchronous Balanced Mode* (ABM). The original master-slave modes Normal Response Mode (NRM) and Asynchronous Response Mode (ARM) are rarely used.

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History

HDLC is based on [IBM's SDLC](#) protocol, which is the layer 2 protocol for IBM's [Systems Network Architecture](#) (SNA). It was extended and standardized by the [ITU](#) as [LAP](#) (Link Access Procedure), while [ANSI](#) named their essentially identical version **ADCCP**.

Derivatives have since appeared in innumerable standards. It was adopted into the [X.25](#) protocol stack as [LAPB](#), into the [V.42](#) protocol as [LAPM](#), into the [Frame Relay](#) protocol stack as [LAPF](#) and into the [ISDN](#) protocol stack as [LAPD](#).

HDLC was the inspiration for the [IEEE 802.2 LLC](#) protocol, and it is the basis for the framing mechanism used with the [PPP](#) on synchronous lines, as used by many servers to connect to a [WAN](#), most commonly the [Internet](#).

A mildly different version is also used as the control channel for [E-carrier](#) (E1) and [SONET](#) multichannel telephone lines. [Cisco HDLC](#) uses low-level HDLC framing techniques but adds a protocol field to the standard HDLC header.

Framing

HDLC [frames](#) can be transmitted over [synchronous](#) or [asynchronous serial communication](#) links. Those links have no mechanism to mark the beginning or end of a frame, so the beginning and end of each frame has to be identified. This is done by using a frame delimiter, or *flag*, which is a unique sequence of bits that is guaranteed not to be seen inside a frame. This sequence is '01111110', or, in [hexadecimal](#) notation, 0x7E. Each frame begins and ends with a frame delimiter. A frame delimiter at the end of a frame may also mark the start of the next frame. A sequence of 7 or more consecutive 1-bits within a frame will cause the frame to be aborted.

When no frames are being transmitted on a simplex or full-duplex synchronous link, a frame delimiter is continuously transmitted on the link. Using the standard [NRZI](#) encoding from bits to line levels (0 bit = transition, 1 bit = no transition), this generates one of two continuous waveforms, depending on the initial state:



This is used by [modems](#) to train and synchronize their clocks via [phase-locked loops](#). Some protocols allow the 0-bit at the end of a frame delimiter to be shared with the start of the next frame delimiter, i.e. '011111101111110'.

For half-duplex or multi-drop communication, where several transmitters share a line, a receiver on the line will see continuous idling 1-bits in the inter-frame period when no transmitter is active.

Since the flag sequence could appear in user data, such sequences must be modified during transmission to keep the receiver from detecting a false frame delimiter. The receiver must also detect when this has occurred so that the original data stream can be restored before it is passed to higher layer protocols. This can be done using bit stuffing, in which a "0" is added after the occurrence of every "1111" in the data. When the receiver detects these "1111" in the data, it removes the "0" added by the transmitter.

Synchronous framing

On synchronous links, this is done with bit stuffing. Any time that 5 consecutive 1-bits appear in the transmitted data, the data is paused and a 0-bit is transmitted. This ensures that no more than 5 consecutive 1-bits will be sent. The receiving device knows this is being done, and after seeing 5 1-bits in a row, a following 0-bit is stripped out of the received data. If, after 5 consecutive 1-bits, the following bit is also a 1-bit, the receiving device knows that either a flag has been found (if the sixth 1-bit is followed by a 0-bit) or an error has occurred (if the sixth 1-bit is followed by seventh 1-bit). In the latter case, the frame receive procedure, depending on state, is generally either aborted or restarted.

This also (assuming NRZL with transition for 0 encoding of the output) provides a minimum of one transition per 6 bit times during transmission of data, and one transition per 7 bit times during transmission of flag, so the receiver can stay in sync with the transmitter. Note however, that for new protocols, newer encodings such as 8b/10b encoding are better suited.

HDLC transmits bytes of data with the least significant bit first (not to be confused with little-endian order, which refers to byte ordering within a multi-byte field).

Asynchronous framing

When using asynchronous serial communication such as standard RS-232 serial ports, bits are sent in groups of 8, and bit-stuffing is inconvenient. Instead they use "control-octet transparency", also called "byte stuffing" or "octet stuffing". The frame boundary octet is 01111110, (7E in hexadecimal notation). A "control escape octet", has the bit sequence '01111101', (7D hexadecimal). If either of these two octets appears in the transmitted data, an escape octet is sent, followed by the original data octet with bit 5 inverted. For example, the data sequence "01111110" (7E hex) would be transmitted as "01111101 01011110" ("7D 5E" hex). Other reserved octet values (such as XON or XOFF) can be escaped in the same way if necessary.

Structure

The contents of an HDLC frame are shown in the following table:

Flag	Address	Control	Information	FCS	Flag
8 bits	8 or more bits	8 or 16 bits	Variable length, n * 8 bits	16 or 32 bits	8 bits

Note that the end flag of one frame may be (but does not have to be) the beginning (start) flag of the next frame.

Data is usually sent in multiples of 8 bits, but only some variants require this; others theoretically permit data alignments on other than 8-bit boundaries.

The frame check sequence (FCS) is a 16-bit CRC-CCITT or a 32-bit CRC-32 computed over the Address, Control, and Information fields. It provides a means by which the receiver can detect errors that may have been induced during the transmission of the frame, such as lost bits, flipped bits, and extraneous bits. However, given that the algorithms used to calculate the FCS are such that the probability of certain types of transmission errors going undetected increases with the length of the data being checked for errors, the FCS can implicitly limit the practical size of the frame.

If the receiver's calculation of the FCS does not match that of the sender's, indicating that the frame contains errors, the receiver can either send a negative acknowledge packet to the sender, or send nothing. After either receiving a negative acknowledge packet or timing out waiting for a positive acknowledge packet, the sender can retransmit the failed frame.

The FCS was implemented because many early communication links had a relatively high bit error rate, and the FCS could readily be computed by simple, fast circuitry or software. More effective forward error correction schemes are now widely used by other protocols.

Types of Stations (Computers), and Data Transfer Modes

Synchronous Data Link Control (SDLC) was originally designed to connect one computer with multiple peripherals. The original "normal response mode" is a master-slave mode where the computer (or **primary terminal**) gives each peripheral (**secondary terminal**) permission to speak in turn. Because all communication is either to or from the primary terminal, frames include only one address, that of the secondary terminal; the primary terminal is not assigned an address. There is no strong distinction between **commands** sent by the primary to a secondary, and **responses** sent by a secondary to the primary. Commands and responses are in fact indistinguishable; the only difference is the direction in which they are transmitted.

Normal response mode allows operation over half-duplex communication links, as long as the primary is aware that it may not transmit when it has given permission to a secondary.

Asynchronous response mode is an HDLC addition^[1] for use over full-duplex links. While retaining the primary/secondary distinction, it allows the secondary to transmit at any time.

Asynchronous balanced mode added the concept of a **combined terminal** which can act as both a primary and a secondary. There are some subtleties about this mode of operation; while many features of the protocol do not care whether they are in a command or response frame, some do, and the address field of a received frame must be examined to determine whether it contains a command (the address received is ours) or a response (the address received is that of the other terminal).

Some HDLC variants extend the address field to include both source and destination addresses, or an explicit command/response bit.

HDLC Operations, and Frame Types

There are three fundamental types of HDLC frames.

- Information frames, or **I-frames**, transport user data from the network layer. In addition they can also include flow and error control information piggybacked on data.
- Supervisory Frames, or **S-frames**, are used for flow and error control whenever piggybacking is impossible or inappropriate, such as when a station does not have data to send. S-frames **do not** have information fields.
- Unnumbered frames, or **U-frames**, are used for various miscellaneous purposes, including link management. Some U-frames contain an information field, depending on the type.

Control Field

The general format of the control field is:

HDLC control fields

7	6	5	4	3	2	1	0	
N(R) Receive sequence no.		P/F	N(S) Send sequence no.		0		0	I-frame
N(R) Receive sequence no.		P/F	type		0		1	S-frame
type		P/F	type		1		1	U-frame

There are also extended (2-byte) forms of I and S frames. Again, the least significant bit (rightmost in this table) is sent first.

Extended HDLC control fields

15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0	
N(R) Receive sequence no.							P/F	N(S) Send sequence no.							0	Extended I-frame
N(R) Receive sequence no.							P/F	0	0	0	0	type	0	1	Extended S-frame	

The P/F bit

Poll/Final is a single bit with two names. It is called Poll when set by the primary station to obtain a response from a secondary station, and Final when set by the secondary station to indicate a response or the end of transmission. In all other cases, the bit is clear.

The bit is used as a **token** that is passed back and forth between the stations. Only one token should exist at a time. The secondary only sends a Final when it has received a Poll from the primary. The primary only sends a Poll when it has received a Final back from the secondary, or after a timeout indicating that the bit has been lost.

- In NRM, possession of the poll token also grants the addressed secondary permission to transmit. The secondary sets the F-bit in its last response frame to give up permission to transmit. (It is equivalent to the word "Over" in radio voice procedure.)
- In ARM and ABM, the P bit forces a response. In these modes, the secondary need not wait for a poll to transmit, so need not wait to respond with a final bit.
- If no response is received to a P bit in a reasonable period of time, the primary station times out and sends P again.
- The P/F bit is at the heart of the basic **checkpoint retransmission** scheme that is required to implement HDLC; all other variants (such as the REJ S-frame) are optional and only serve to increase efficiency. Whenever a station receives a P/F bit, it may assume that any frames that it sent before it last transmitted the P/F bit and not yet acknowledged will never arrive, and so should be retransmitted.

When operating as a combined station, it is important to maintain the distinction between P and F bits, because there may be two checkpoint cycles operating simultaneously. A P bit arriving in a command from the remote station is not in response to our P bit; only an F bit arriving in a response is.

N(R), the receive sequence number

Both I and S frames contain a receive sequence number N(R). N(R) provides a positive acknowledgement for the receipt of I-frames from the other side of the link. Its value is always the first frame *not* received; it acknowledges that all frames with N(S) values up to N(R)-1 (modulo 8 or modulo 128) have been received and indicates the N(S) of the next frame it expects to receive.

N(R) operates the same way whether it is part of a command or response. A combined station only has one sequence number space

N(S), the sequence number of the sent frame

This is incremented for successive I-frames, modulo 8 or modulo 128. Depending on the number of bits in the sequence number, up to 7 or 127 I-frames may be awaiting acknowledgment at any time.

I-Frames (user data)

Information frames, or **I-frames**, transport user data from the network layer. In addition they also include flow and error

control information piggybacked on data. The sub-fields in the control field define these functions.

The least significant bit (first transmitted) defines the frame type. 0 means an I-frame. Except for the interpretation of the P/F field, there is no difference between a command I frame and a response I frame; when P/F is 0, the two forms are exactly equivalent.

S-Frames (control)

Supervisory Frames, or 'S-frames', are used for flow and error control whenever piggybacking is impossible or inappropriate, such as when a station does not have data to send. S-frames **do not** have information fields.

The S-frame control field includes a leading "10" indicating that it is an S-frame. This is followed by a 2-bit type, a poll/final bit, and a sequence number. If 7-bit sequence numbers are used, there is also a 4-bit padding field.

The first 2 bits mean it is an S-frame. All S frames include a P/F bit and a receive sequence number as described above. Except for the interpretation of the P/F field, there is no difference between a command S frame and a response S frame; when P/F is 0, the two forms are exactly equivalent.

1|0 |S|S|P/F|N(R) | The 2-bit type field encodes the type of S frame.

Receive Ready (RR)

- Bit Value = 00 (0x00 to match above table type field bit order^[2])
- Indicate that the sender is ready to receive more data (cancels the effect of a previous RNR).
- Send this packet if you need to send a packet but have no I frame to send.
- A primary station can send this with the P-bit set to solicit data from a secondary station.
- A secondary terminal can use this with the F-bit set to respond to a poll if it has no data to send.

Receive Not Ready (RNR)

- Bit value = 10 (0x04 to match above table type field bit order^[3])
- Acknowledge some packets and request no more be sent until further notice.
- Can be used like RR with P bit set to solicit the status of a secondary station
- Can be used like RR with F bit set to respond to a poll if the station is busy.

Reject (REJ)

- Bit value = 01 (0x08 to match above table type field bit order^[4])
- Requests immediate retransmission starting with N(R).
- Sent in response to an observed sequence number gap. After seeing I1/I2/I3/I5, send REJ4.
- Optional to generate; a working implementation can use only RR.

Selective Reject (SREJ)

- Bit value = 11 (0x0c to match above table type field bit order)
- Requests retransmission of only the frame N(R).
- Not supported by all HDLC variants.
- Optional to generate; a working implementation can use only RR, or only RR and REJ.

U-Frames

Unnumbered frames, or **U-frames**, are used for link management, and can also be used to transfer user data. They exchange session management and control information between connected devices, and some U-frames contain an information field, used for system management information or user data. The first 2 bits (11) mean it is a U-frame. The 5 type bits (2 before P/F bit and 3 bit after P/F bit) can create 32 different types of U-frame

- Mode settings (SNRM, SNRME, SARM, SARME, SABM, SABME, UA, DM, RIM, SIM, RD, DISC)
- Information Transfer (UP, UI)
- Recovery (FRMR, RSET)
 - Invalid Control Field
 - Data Field Too Long
 - Data field not allowed with received Frame Type
 - Invalid Receive Count
- Miscellaneous (XID, TEST)

Link Configurations

Link configurations can be categorized as being either:

- *Unbalanced*, which consists of one primary terminal, and one or more secondary terminals.
- *Balanced*, which consists of two peer terminals.

The three link configurations are:

- *Normal Response Mode* (NRM) is an unbalanced configuration in which only the primary terminal may initiate data transfer. The secondary terminal transmits data only in response to commands from the primary terminal. The primary terminal polls the secondary terminal(s) to determine whether they have data to transmit, and then selects one to transmit.
- *Asynchronous Response Mode* (ARM) is an unbalanced configuration in which secondary terminals may transmit without permission from the primary terminal. However, the primary terminal still retains responsibility for line initialization, error recovery, and logical disconnect.
- *Asynchronous Balanced Mode* (ABM) is a balanced configuration in which either station initialize, supervise, recover from errors, and send frames at any time. There is no master/slave relationship. The DTE (Data Terminal Equipment) and DCE (Data circuit-terminating equipment) are treated as equals. The initiator for Asynchronous Balanced Mode sends an SABM.

An additional link configuration is *Disconnected mode*. This is the mode that a secondary station is in before it is initialized by the primary, or when it is explicitly disconnected. In this mode, the secondary responds to almost every frame other than a mode set command with a "Disconnected mode" response. The purpose of this mode is to allow the primary to reliably detect a secondary being powered off or otherwise reset..

HDLC Command and response repertoire

- Commands (I, RR, RNR, (SNRM or SARM or SABM), DISC)
- Responses (I, RR, RNR, UA, DM, FRMR)

Basic Operations

- Initialization can be requested by either side. When the six-mode set-command is issued. This command:
 - Signals the other side that initialization is requested
 - Specifies the mode, NRM, ABM, ARM
 - Specifies whether 3 or 7 bit sequence numbers are in use.

The HDLC module on the other end transmits (UA) frame when the request is accepted. And if the request is rejected it sends (DM) disconnect mode frame.

Functional Extensions (Options)

- For Switched Circuits
 - Commands: ADD – XID
 - Responses: ADD – XID, RD
- For 2-way Simultaneous commands & responses are ADD – REJ
- For Single Frame Retransmission commands & responses: ADD – SREJ
- For Information Commands & Responses: ADD – UI
- For Initialization
 - Commands: ADD – SIM
 - Responses: ADD – RIM
- For Group Polling
 - Commands: ADD – UP
- Extended Addressing
- Delete Response I Frames
- Delete Command I Frames
- Extended Numbering
- For Mode Reset (ABM only) Commands are: ADD – RSET
- Data Link Test Commands & Responses are: ADD – TEST
- Request Disconnect. Responses are ADD – RD
- 32-bit FCS

HDLC Command/Response Repertoire

Type Of Frame	Name	Command/Response	Description	Info	C-Field Format							
					7	6	5	4	3	2	1	0
Information(I)		C/R	User exchange data		N(R)		P/F		N(S)		0	
Supervisory (S)	Receive Ready (RR)	C/R	Positive Acknowledgement	Ready to receive I-frame N(R)	N(R)		P/F		0	0	0	1
	Receive Not Ready (RNR)	C/R	Positive Acknowledgement	Not ready to receive	N(R)		P/F		0	1	0	1
	Reject (REJ)	C/R	Negative Acknowledgement	Retransmit starting with N(R)	N(R)		P/F		1	0	0	1
	Selective Reject (SREJ)	C/R	Negative Acknowledgement	Retransmit only N(R)	N(R)		P/F		1	1	0	1

Unnumbered Frames

Unnumbered frames are identified by the low two bits being 1. With the P/F flag, that leaves 5 bits as a frame type. Even

though fewer than 32 values are in use, some types have different meanings depending on the direction they are sent: as a request or as a response. The relationship between the **DISC** (disconnect) command and the **RD** (request disconnect) response seems clear enough, but the reason for making **SARM** command numerically equal to the **DM** response is obscure.

Name	Command/ Response	Description	Info	C-Field Format							
				7	6	5	4	3	2	1	0
Set normal response SNRM	C	Set mode	Use 3 bit sequence number	1	0	0	P	0	0	1	1
Set normal response extended mode SNRME	C	Set mode; extended	Use 7 bit sequence number	1	1	0	P	1	1	1	1
Set asynchronous response SARM	C	Set mode	Use 3 bit sequence number	0	0	0	P	1	1	1	1
Set asynchronous response extended mode SARME	C	Set mode; extended	Use 7 bit sequence number	0	1	0	P	1	1	1	1
Set asynchronous balanced mode SABM	C	Set mode	Use 3 bit sequence number	0	0	1	P	1	1	1	1
Set asynchronous balanced extended mode SABME	C	Set mode; extended	Use 7 bit sequence number	0	1	1	P	1	1	1	1
Set initialization mode SIM	C	Initialize link control function in the addressed station		0	0	0	P	0	1	1	1
Disconnect DISC	C	Terminate logical link connection	Future I and S frames return DM	0	1	0	P	0	0	1	1
Unnumbered Acknowledgment UA	R	Acknowledge acceptance of one of the set-mode commands.		0	1	1	F	0	0	1	1
Disconnect Mode DM	R	Responder in Disconnect Mode	mode set required	0	0	0	F	1	1	1	1
Request Disconnect RD	R	Solicitation for DISC Command		0	1	0	F	0	0	1	1
Request Initialization Mode RIM	R	Initialization needed	Request for SIM command	0	0	0	F	0	1	1	1
Unnumbered Information UI	C/R	Unacknowledged data	has a payload	0	0	0	P/F	0	0	1	1
Unnumbered Poll UP	C	Used to solicit control information		0	0	1	P	0	0	1	1
Reset RSET	C	Used for recovery	Resets N(R) but not N(S)	1	0	0	P	1	1	1	1
Exchange Identification XID	C/R	Used to Request/Report capabilities		1	0	1	P/F	1	1	1	1
Test TEST	C/R	Exchange identical information fields for testing		1	1	1	P/F	0	0	1	1
Frame Reject FRMR	R	Report receipt of unacceptable frame		1	0	0	F	0	1	1	1
Nonreserved 0 NR0	C/R	Not standardized	For application use	0	0	0	P/F	1	0	1	1
Nonreserved 1 NR1	C/R	Not standardized	For application use	1	0	0	P/F	1	0	1	1
Nonreserved 2 NR2	C/R	Not standardized	For application use	0	1	0	P/F	1	0	1	1
Nonreserved 3 NR3	C/R	Not standardized	For application use	1	1	0	P/F	1	0	1	1

Configure for test CFGR	C/R	Not part of HDLC	Was part of SDLC	1	1	0	P/F	0	1	1	1
Beacon BCN	R	Not part of HDLC	Was part of SDLC	1	1	1	F	1	1	1	1

The UI, XID and TEST frames contain a payload, and can be used as both commands and responses.

- A UI frame contains user information, but unlike an I frame it is not acknowledged or retransmitted if lost.
- The XID frame is used to exchange terminal capabilities. IBM Systems Network Architecture defined one format, but the variant defined in ISO 8885 is more commonly used. A primary advertises its capabilities with an XID command, and a secondary returns an XID response.
- The TEST frame is simply a ping command for debugging purposes. The payload of the TEST command is returned in the TEST response.

The FRMR frame contains a payload describing the unacceptable frame. The first 1 or 2 bytes are a copy of the rejected control field, the next 1 or 2 contain the current send and receive sequence numbers, and the following 4 or 5 bits indicate the reason for the rejection.

See also

- PPP, SLIP

Notes

- ↑ (Friend 1988, p. 191)
- ↑ http://www.euclideanspace.com/coms/protocol/x25/link/f_types/index.htm#rr
- ↑ http://www.euclideanspace.com/coms/protocol/x25/link/f_types/index.htm#rnr
- ↑ http://www.euclideanspace.com/coms/protocol/x25/link/f_types/index.htm#rej

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- Stallings, William (2004). *Data and Computer Communications* (7th ed.). Upper Saddle River: Pearson/Prentice Hall. ISBN 978-0-13-100681-2.
- S. Tanenbaum, Andrew (2005). *Computer Networks* (4th ed.). 482,F.I.E., Patparganj, Delhi 110 092: Dorling Kindersley(India)Pvt. Ltd.,licenses of Pearson Education in South Asia. ISBN 81-7758-165-1.

External links

- RFC 2687, Proposed Standard, PPP in a Real-time Oriented HDLC-like Framing
- RFC 1662, standard 51, PPP in HDLC-like Framing
- Data Communication Lectures of Manfred Lindner – Part HDLC (http://www.ict.tuwien.ac.at/lva/384.081/infobase/L03-HDLC_v4-4.pdf)
- HDLC packet format and other information (http://www.acacia-net.com/wwwcla/protocol/iso_4335.htm#l%20format)
- The HDLC Family of Protocols (http://www.cse.dmu.ac.uk/courses/MScC+IT/MS-C/Networks/DataLink/DataLink.htm#12%20The%20HDLC%20family%20of%20protocols)
- ISO/IEC 13239:2002 (https://www.iso.org/standard/37010.html)

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