

# Genetic Algorithms

## Part 1: Introduction

**Fall 2009**

*Instructor: Dr. Masoud Yaghini*

# Outline

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- Evolutionary Computing (EC)
- Biological Background
- Landscape Example
- Natural Genetics
- Motivations for EC
- References

# **Evolutionary Computing (EC)**



# Evolutionary Computing (EC)

- EC is part of computer science
- EC is not part of life sciences/biology
- It draws inspiration from the process of natural evolution
- EC can be applied in biological research

# The Main EC Metaphor

EC	Nature
Optimization problem	Environment
Feasible solutions	Individuals living in that environment
Solutions quality	Fitness (Individual's degree of adaptation to its surrounding environment)

## Genetic Algorithms: Part 1

# Evolutionary Computing Areas

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Genetic  
Programming

Evolution  
Strategies

Genetic  
Algorithms

Evolutionary  
Programming

# Brief History

- 1964, Rechenberg introduces **evolution strategies**
- 1965, L. Fogel, Owens and Walsh introduce **evolutionary programming**
- 1975, Holland introduces **genetic algorithms**
- 1992, Koza introduces **genetic programming**

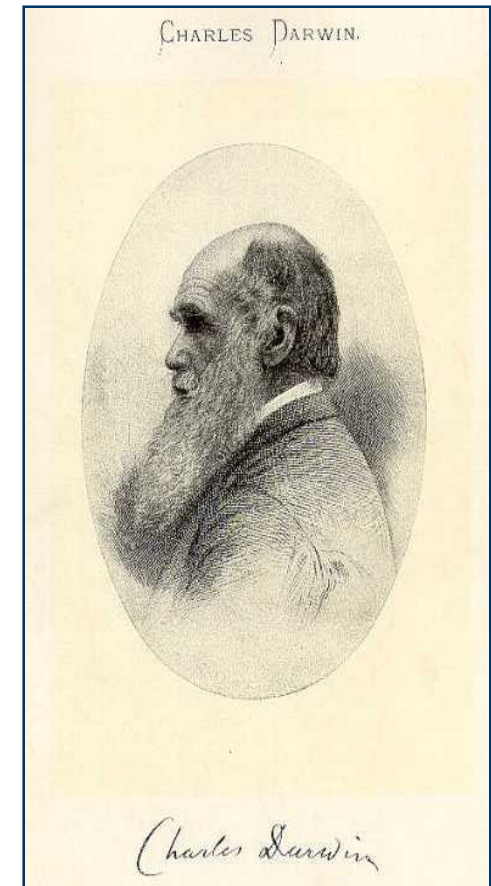
The image features a large green shape on the left side, which has a white, rounded rectangular cutout. The text "Biological Background" is centered within this white area. Below the green shape, a dark blue horizontal bar extends to the right, overlapping the white cutout's bottom edge.

# **Biological Background**



# Darwin's principles

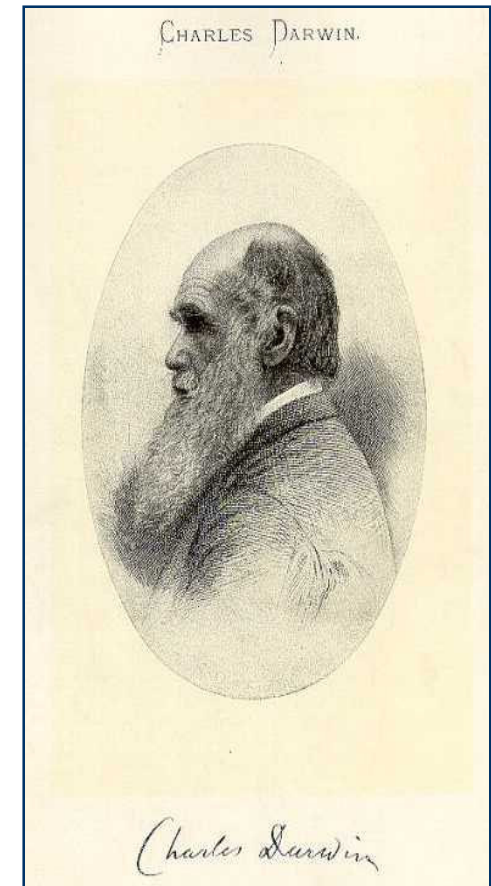
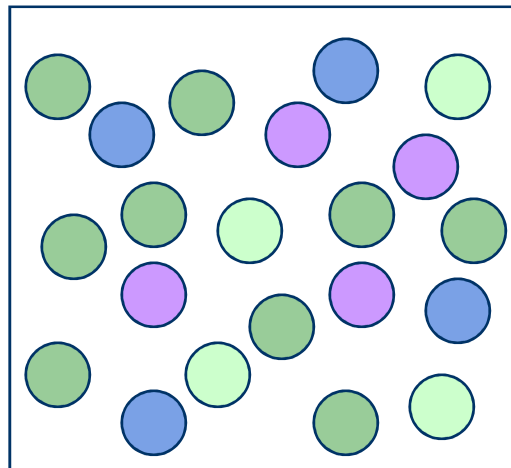
- **Variety** of species individuals within the population
- **Overproduction** of offspring generation
  - Individuals have basic instinct towards reproduction
- **Competition** for limited resources
  - Environment only support a limited number of individuals
- **Survival of the fittest**
  - Those individuals, that are adopted or fit to the environmental condition best, have increased chance of reproduction



## Evolution

### How does it work?

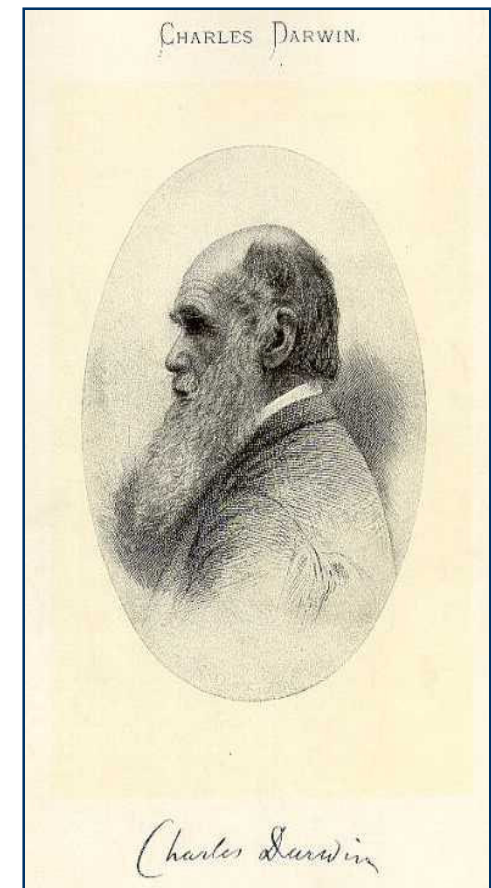
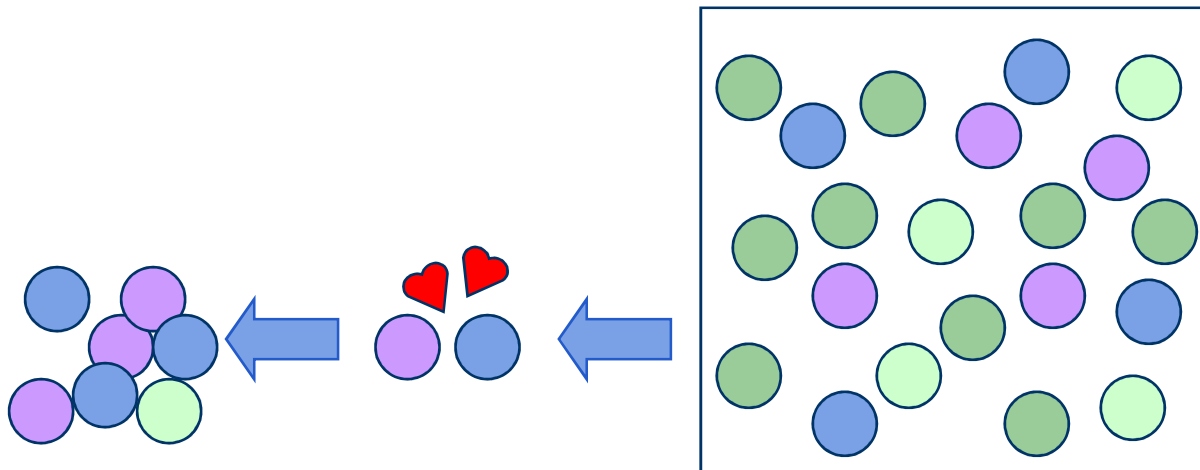
- Initial population
  - Variety of shapes, colors, behaviors
  - Each individual fits differently to the environment



## Evolution

### How does it work?

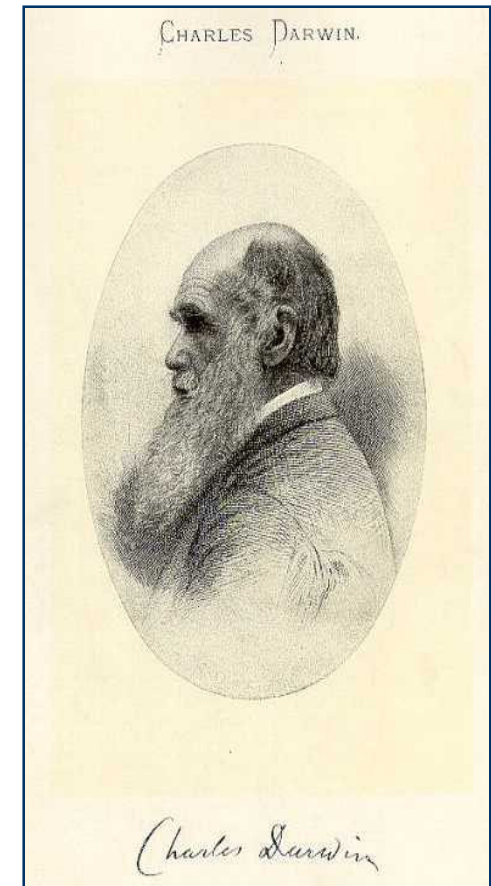
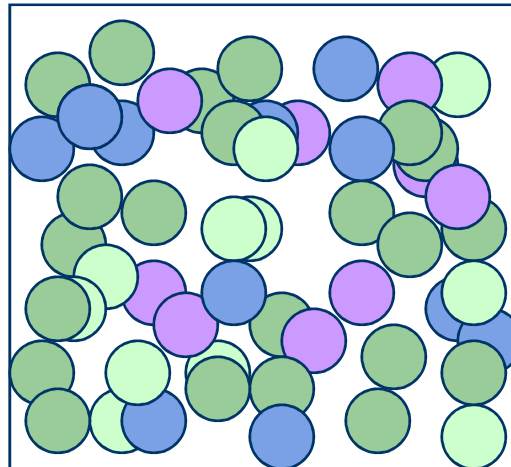
- Initial population
- Reproduction
  - Offspring combines both parents properties
  - Siblings may differ in properties
  - Mutations may occur



# Evolution

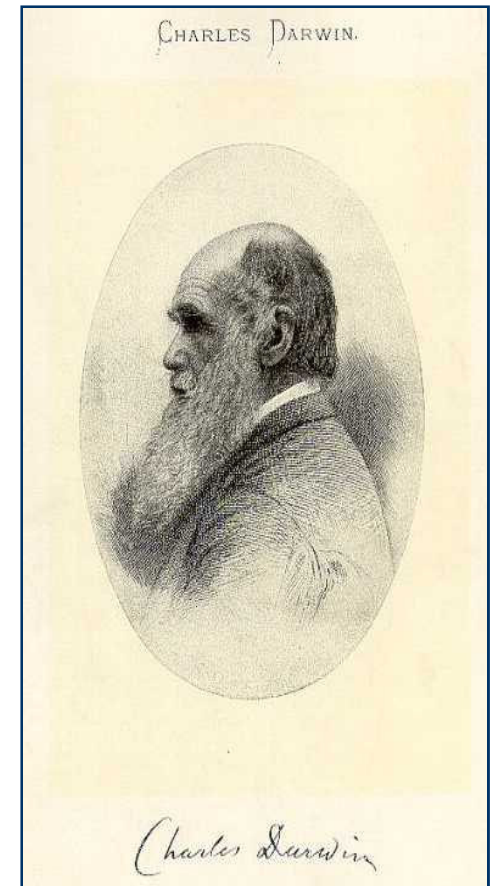
## How does it work?

- Initial population
- Reproduction
- Limited environmental resources
  - Only a portion of the individuals survive
  - Survival chances – according to fitness measure



# Evolution

- **Phenotypic traits:**
  - Behaviour / physical differences that affect **response to environment**
  - Partly determined by **inheritance**, partly by factors **during development**
  - Unique to each individual, partly as a result of **random changes**
- If phenotypic traits lead to higher chances of reproduction, then
  - Can be inherited
  - They will tend to increase in subsequent generations
  - Leading to new combinations of traits ...



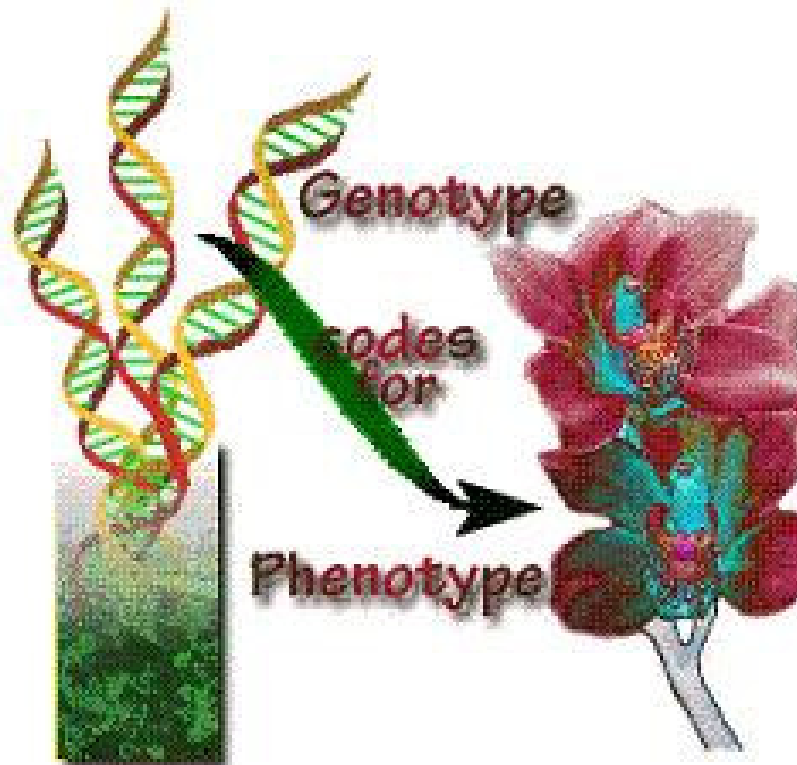


# Natural Genetics



# Natural Genetics

- The information required to build a living organism is coded in the **DNA** of that organism
- **Genotype** (DNA inside) determines **Phenotype**



# Natural Genetics

- **Genes** are encoding phenotypic characteristics
  - One gene may affect many traits
  - Many genes may affect one trait
- Small changes in the genotype lead to small changes in the organism (e.g., height, hair colour)
- The possibilities of the **genes** for one property is called **Allele**
- Genotypic variations are consequences of:
  - **Recombination** of genes by sexual reproduction
  - **Mutation** of genes

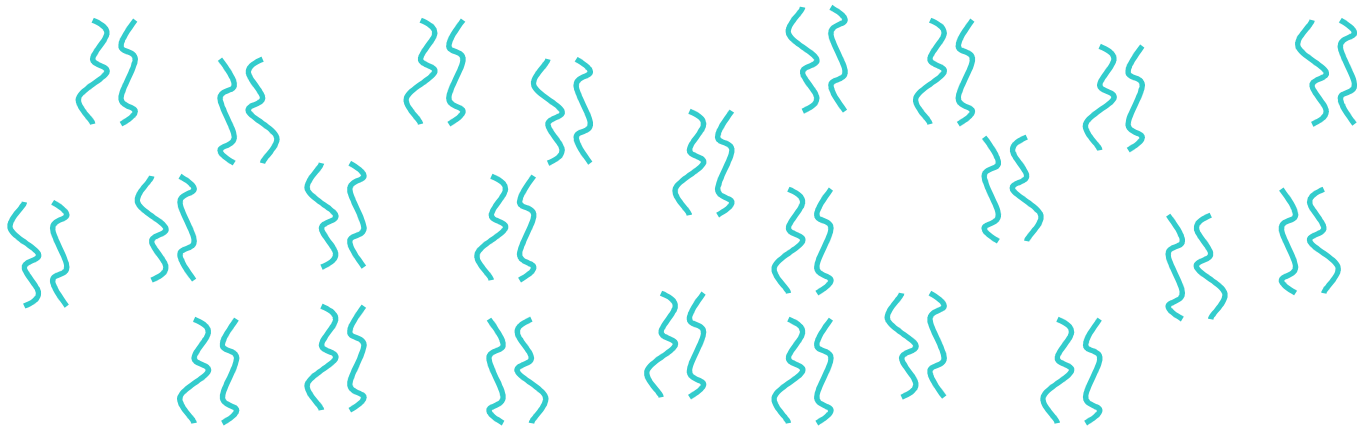


# Genes and the Genome

- The complete genetic information in an individual's genotype is called the **Genome**
- Genes are encoded in strings of DNA called **Chromosomes**
- In most **cells**, there are two copies of each chromosome, called **Diploid**
- Within a species, most of the genetic material is the same

### Example

- **Human body cells** contains **23 pairs** of chromosomes which together define the attributes of the individual:

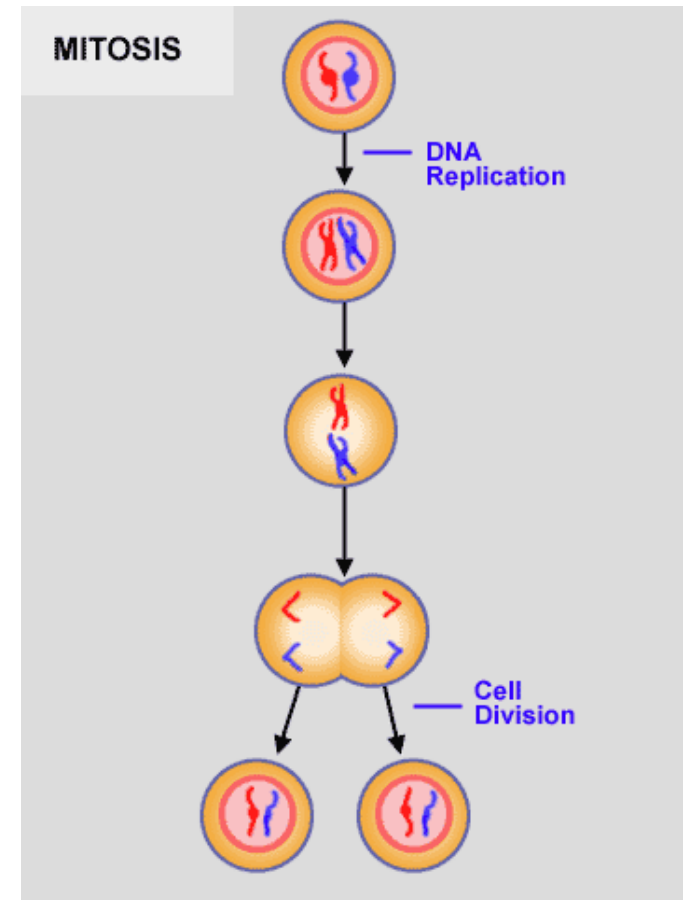


# Reproductive Cells

- **Gametes** (i.e, **sperm** and **egg cells**) contain 23 **individual chromosomes rather than 23 pairs**
- Cells (gametes) with only one copy of each chromosome are called **Haploid**
- The haploid **sperm cell merges** with the haploid **egg cell** and forms a diploid cell, called **Zygote**
- The new organism develops from this zygote by the process named **Ontogenesis**
- All body cells contain the **same** genetic information as the zygote it original form

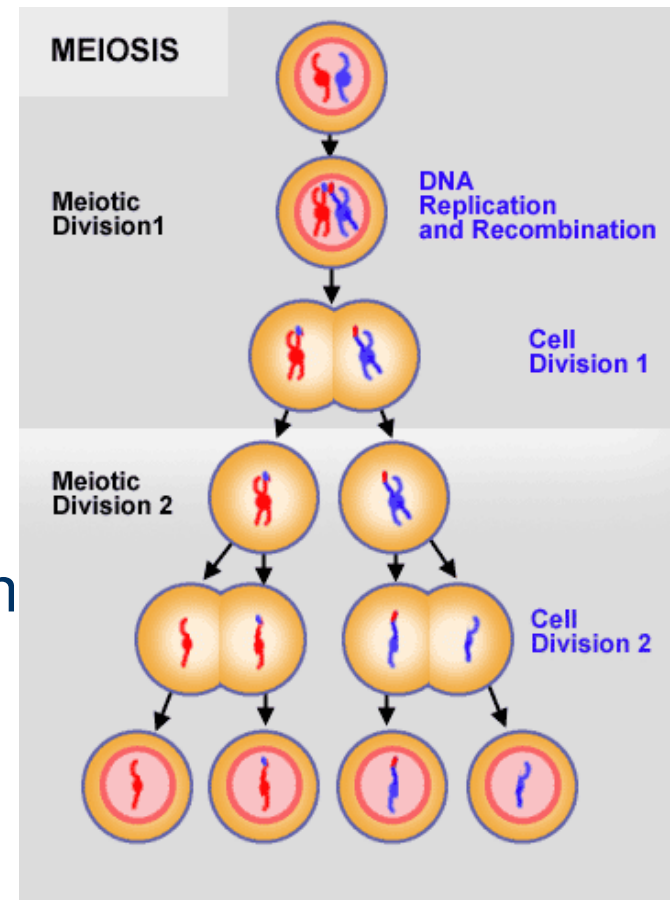
# Mitosis

- **Mitosis** is copying the same genetic information to new offspring
- Mitosis is the normal way of growing of multicell structures



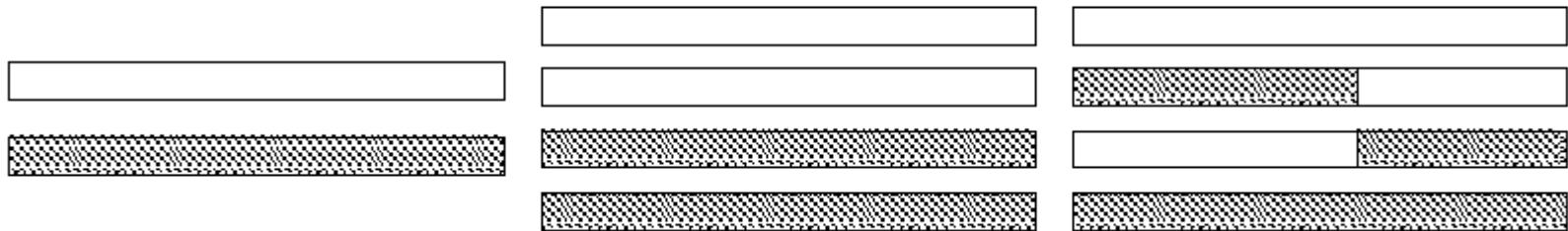
# Meiosis

- **Meiosis** is the basis of sexual reproduction
- After meiotic division, gametes appear in the process
- Hence genetic information is shared between the parents in order to create new offspring
- During meiosis the pairs of chromosome undergo an operation called **Crossing over**



# Crossing-over during meiosis

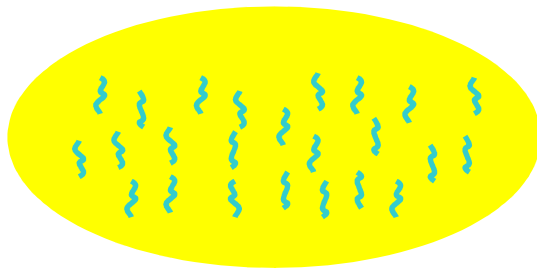
- Chromosome pairs align and duplicate
- Inner pairs link exchange parts of themselves



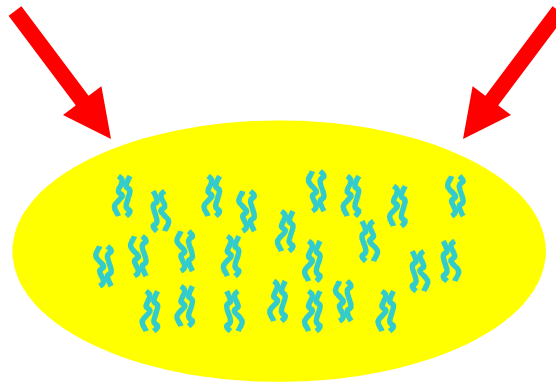
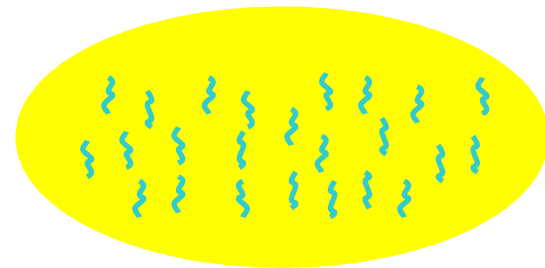
- Outcome is one copy of **maternal/paternal** chromosome plus two entirely new combinations
- After crossing over one of each pair goes into each gamete

## Fertilisation

Sperm cell from Father



Egg cell from Mother



New person cell (zygote)

# After fertilisation

- **New zygote** rapidly divides and creating many cells all with the same genetic contents
- Although all cells contain the same genes, depending on, for example where they are in the organism, they will behave differently
- This process of differential behaviour during development is called **ontogenesis**
- All of this uses, and is controlled by, the same mechanism for decoding the genes in DNA



# Transcription, translation

A central claim in molecular genetics: only one way flow

Genotype  $\longrightarrow$  Phenotype

Genotype  $\longleftarrow$  Phenotype

**Lamarckism** (saying that acquired features can be inherited) is thus wrong!

# Mutation

- Occasionally some of the genetic material changes very slightly during this process
- This means that the child might have genetic material information **not inherited** from either parent
- This can be
  - **Disastrous**: offspring is not viable (most likely)
  - **Neutral**: new feature does not influence fitness
  - **Advantageous**: strong new feature occurs



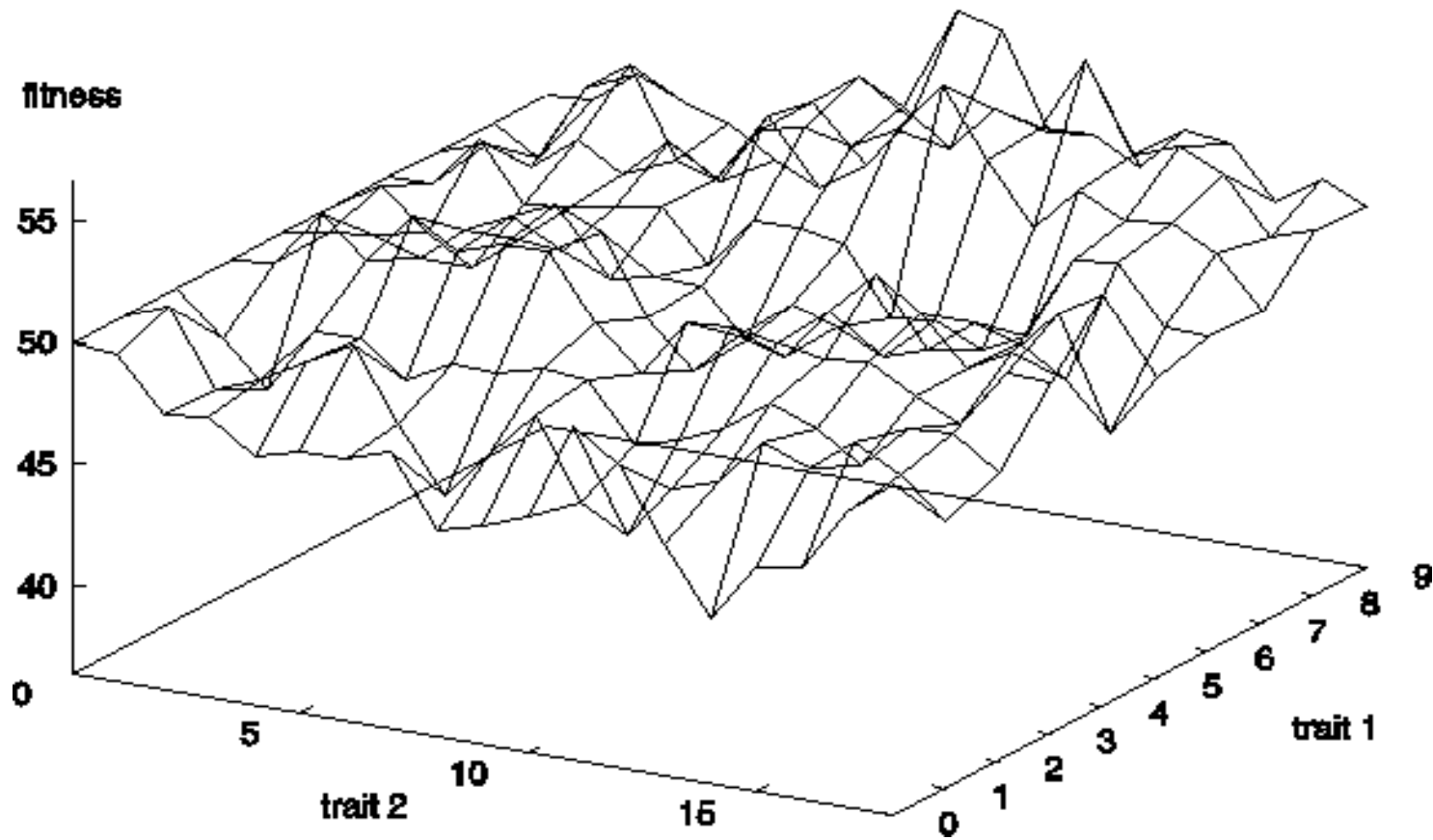
# **Landscape Example**



# Landscape metaphor

- The **height dimension** belongs to fitness
- The other two (or more) dimensions correspond to **biological traits**
- The x-y-plane holds all possible trait combinations
- Therefore, each different individual (**phenotype**) represents a single point on the landscape
- Population is therefore a “cloud” of points, moving on the landscape over time as it evolves - adaptation

# Example with two traits



# Landscape metaphor

- Selection “pushes” population up the landscape
- There are a number of points that are better than all their neighbouring solutions, we call each of these points a **local optimum**
- The highest of these points is called **global optimum**
- Random variations in feature distribution (+ or -) arising from sampling error can cause the population down hills, thus crossing valleys and leaving local optima



# Motivations for EC



# Motivations for GA

- Nature has always served as a **source** of inspiration for engineers and scientists
- The best problem solver known in nature is:
  - **the (human) brain** that created “the wheel, New York, wars and so on”
  - **the evolution mechanism** that created the human brain

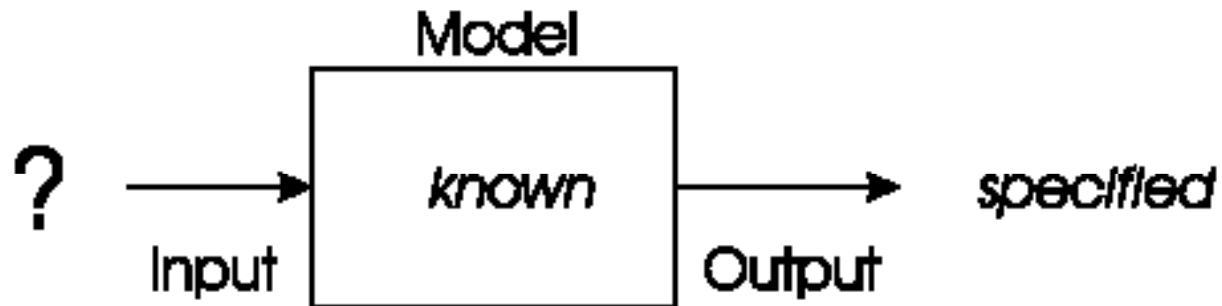


# Motivations for EC

- Developing, analyzing, applying problem **solving methods (algorithms)** is a central theme in mathematics and computer science
- **Complexity** of problems to be solved **increases**
- Consequence: **Robust problem solving** technology needed
  - Which do not need much tailoring for specific problems, and
  - Deliver good (not necessarily optimal) solutions within acceptable time
- EC do all this

# Optimizations

- We have a model of our system and seek inputs that give us a specified goal



- e.g.
  - Crew scheduling problem, Train timetabling, & ...



# References



### References

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- Eiben and Smith. **Introduction to Evolutionary Computing**, Springer-Verlag, New York, 2003.
- J. Drevo A. Petrowski, P. Siarry E. Taillard, **Metaheuristics for Hard Optimization**, Springer-Verlag, 2006.

***The End***

